

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/769,159	01/29/2004	Byoung-Ok Min	P/923-374	7772
2352	7590 08/23/200	<b>15</b>	EXAMINER	
OSTROLENK FABER GERB & SOFFEN 1180 AVENUE OF THE AMERICAS			CANNING, ANTHONY J :	
	K, NY 100368403	AS	ART UNIT PAPER NUMBER	
			2879	
			DATE MAILED: 08/23/2005	
				· ·

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)					
Office Action Summans	10/769,159	MIN, BYOUNG-OK	(m)				
Office Action Summary	Examiner	Art Unit					
	Anthony J. Canning	2879					
The MAILING DATE of this communication app Period for Reply	oears on the cover sheet with the c	orrespondence addr	ess				
A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a repl - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b).	136(a). In no event, however, may a reply be timely within the statutory minimum of thirty (30) day will apply and will expire SIX (6) MONTHS from the cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this com (35 U.S.C. § 133).	munication.				
Status							
1) Responsive to communication(s) filed on	·						
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This	s action is non-final.						
Since this application is in condition for allowance except for formal matters, prosecution as to the merits is							
closed in accordance with the practice under t	Ex parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.					
Disposition of Claims							
4)⊠ Claim(s) <u>1-13</u> is/are pending in the application	i.						
4a) Of the above claim(s) is/are withdra							
5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>1-13</u> is/are rejected.	· <u> </u>						
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and/o	or election requirement.						
Application Papers							
9) The specification is objected to by the Examine	er.						
10)⊠ The drawing(s) filed on <u>29 January 2004</u> is/are: a)⊠ accepted or b)☐ objected to by the Examiner.							
Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correct							
11)☐ The oath or declaration is objected to by the E	xaminer. Note the attached Office	Action or form PTC	)-152.				
Priority under 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:	n priority under 35 U.S.C. § 119(a)	)-(d) or (f).	,				
1.⊠ Certified copies of the priority document	ts have been received.						
2. Certified copies of the priority documents have been received in Application No							
3. Copies of the certified copies of the price	rity documents have been receive	ed in this National S	tage				
application from the International Bureau (PCT Rule 17.2(a)).							
* See the attached detailed Office action for a list of the certified copies not received.							
·			٠.				
Attachment(s)  Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413)					
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da	ate					
<ol> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)</li> <li>Paper No(s)/Mail Date</li> </ol>	5) Notice of Informal F 6) Other:	Patent Application (PTO-1	152)				
· aper riv(s)/rivaii Date	o,						

Art Unit: 2879

#### **DETAILED ACTION**

#### Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claims 1-5, 7, and 9-11 are rejected under 35 U.S.C. 102(b) as being anticipated by Turner et al. (WO 97/27617).
- 3. As to claim 1, Turner et al. disclose a lighting apparatus using microwave energy, including: a magnetron disposed inside a casing (see Fig. 1, items 10 and 11; page 4, the last paragraph), for generating microwave energy (page 4, the last paragraph); a waveguide for guiding microwave energy (see Fig. 1, item 27; page 5, the second paragraph); a resonator providing a resonant region in which the microwave energy is resonated (see Fig. 1, item 20; page 5, first paragraph; the screen assembly of Turner has the same structure as the claimed resonator); a bulb disposed inside the resonator (see Fig. 1, item 21; page 5, first paragraph), and filled with a material which emits light, when excited by the microwave energy (page 1, the first paragraph under Discussion of the Prior Art; page 5, the first paragraph); and a rear mirror integrally fixed to a rear of the bulb, for forwardly reflecting light rearwardly emitted from the bulb (see Fig. 1, item 50; page 6, the last paragraph).

Art Unit: 2879

4. As to claim 2, Turner et al. disclose the apparatus of claim 1. Turner further discloses that the rear mirror is formed in a hemispherical shape having a curved shape (page 7, the last paragraph, convex and concave are both hemispherical curved shapes).

- 5. As to claim 3, Turner et al. disclose the apparatus of claim 2. Turner et al. further disclose that the bulb is positioned at a focal point of the curved surface of the rear mirror (page 7, last paragraph, the reflector can be "contoured as desired" a desired effect is having the bulb placed at a focal point of the curved mirror).
- 6. As to claim 4, Turner et al. disclose the apparatus of claim 1. Turner further discloses that the rear mirror is made of a quartz material (page 6, the last paragraph, fused silica is quartz).
- 7. As to claim 5, Turner et al. disclose the apparatus of claim 1. Turner et al. further disclose that a fixed mirror fixed to the casing at a rear side of the bulb and having a hole in which a bulb stem rearwardly extended from the bulb is rotatably inserted, for forwardly reflecting light emitted to the rear of the bulb (see Fig. 6, item 61; page 8, second paragraph).
- 8. As to claim 7, Turner et al. disclose the apparatus of claim 5. Turner et al. further disclose that the fixed mirror is formed in a hemispherical shape having a curved shape (see Fig. 6, item 61; page 8, second paragraph).
- 9. As to claim 9, Turner et al. disclose the apparatus of claim 5. Turner et al. disclose that the rear mirror is formed in a hemispherical shape having a curved shape (page 7, the last paragraph, convex and concave are both hemispherical curved shapes).
- 10. As to claim 10, Turner et al. disclose the apparatus of claim 9. Turner et al. further disclose that the bulb is positioned at a focal point of the curved surface of the

Art Unit: 2879

rear mirror (page 7, last paragraph, the reflector can be "contoured as desired" a desired effect is having the bulb placed at a focal point of the curved mirror).

11. As to claim 11, Turner et al. disclose the apparatus of claim 5. Turner et al. further disclose that the rear mirror is made of a quartz material (page 6, the last paragraph, fused silica is quartz).

### Claim Rejections - 35 USC § 103

- 12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which the subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

13. Claim 6 is rejected under 35 U.S.C. 103(a) as being unpatentable over Turner et al. (WO 97/27617) in view of Guenter et al. (EP 1,124,089 A2).

Art Unit: 2879

14. As to claim 6, Turner et al. disclose the apparatus of claim 5. Turner et al. fail to disclose that the diameter of the hole of the fixed mirror is formed to be smaller than a width of the rear mirror.

Guenter et al. disclose a light emitting apparatus with a rear and a fixed mirror (see Fig. 3, items 42, 44, and 20; paragraph 0009; the bottom of section 44 is narrower than the widest portion of the rear mirror 22). The rear mirror improves brightness of the device by preventing losing light behind the rear mirror.

Therefore, it would have been obvious to one having ordinary skill in the art, at the time the invention was made, to modify the light-emitting device of Turner et al. to include that the diameter of the hole of the fixed mirror is formed to be smaller than a width of the rear mirror, as taught by Guenter et al., so as to improve the brightness of the device by preventing lost light behind the rear mirror.

- 15. Claims 8, 12 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Turner et al. (WO 97/27617) in view of Roberts (U.S. 4,658,179).
- 16. As to claim 8, Turner et al. disclose the apparatus of claim 7. Turner et al. fail to disclose that the bulb is positioned at a focal point of the curved surface of the fixed mirror.

Roberts discloses a light emitting apparatus with a rear and a fixed mirror (see Fig. 1, time 53). Roberts further discloses that the light is emitted from the focal length of the mirror (column 3, lines 60-65). This improves the brightness of the device.

Therefore, it would have been obvious to one having ordinary skill in the art, at the time the invention was made, to modify the light-emitting device of Turner et al. to

Art Unit: 2879

include that the light-emitted is at the focal point of the curved surface of the fixed mirror, as taught by Roberts, to improve the brightness of the device.

17. As to claims 12 and 13, Turner et al. disclose the apparatus of claim 5. Turner et al. fail to disclose that the fixed mirror is made of a ceramic material, specifically alumina, Si<sub>3</sub>N<sub>4</sub> or AlN.

Roberts discloses a light emitting apparatus with a rear and a fixed mirror (see Fig. 1, time 53). Roberts further discloses that the fixed mirror is made of a ceramic, specifically alumina (column 4, lines 1-10). Ceramics are ideal mirror shells in lamps because they are cheap insulators.

Therefore, it would have been obvious to one having ordinary skill in the art, at the time the invention was made, to modify the light-emitting device of Turner et al. to include that the fixed mirror is made of a ceramic material, specifically alumina, Si<sub>3</sub>N<sub>4</sub> or AlN, as taught by Roberts, to have a mirror made from a cheap insulating material.

## **Contact Information**

18. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anthony J. Canning whose telephone number is (571)-272-2486. The examiner can normally be reached on M-F 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nimesh D. Patel can be reached on (571)-272-2457. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 2879

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Anthony Canning (W

18 August 2005

ASHOK PATEL PRIMARY EXAMINED Page 7